PREDECTIVE DIALING BY MONITORING PROGRESS OF AGENT SCRIPT

This invention relates to call centre dialling management
systems.   In   particular   the   invention   relates   to
predictive dialling systems for use in call centres in
which the call centre agents have to call, in sequence,
telephone numbers from a long list of telephone numbers
in   order   to   obtain   information   from   the   telephone
respondent answering each call.   Such information may be
intended to persuade the respondent to purchase services
such as banking services or telephony services, or be for
polling   purposes   for   example   to   determine   each
respondent's political allegiance.

Call centres use a large number of agents who each have
workstations linked by a Computer-Telephony Integration
(CTI) system which integrates the computer and telephony
systems.   Such CTI systems include such features as
automatic call distribution for management of incoming
calls, call accounting to enable collection of call-
related information, trend analysis and agent performance
and predictive dialling to enable the system to speed
dial numbers in a preloaded list of telephone numbers to
enable these numbers to be called in sequence before a
call centre agent becomes free from a previous call and

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then to deliver calls which have been answered to the agent as he or she becomes available to take the next call. Predictive dialling thus cuts down agent dead time, as when an agent has finished dealing with the previous outgoing call he or she is able to immediately pick up a new answered call.

Known predictive dialling systems are based on a statistical analysis of previous call durations in order to estimate the number of agents who are likely to become available at any particular time. Such an arrangement suffers the disadvantage, however, that where the number of agents who are likely to become available at that time is over-estimated, this leaves answered calls with no agent to deal with them, the telephone respondent then being left with what appears, to the telephone respondent, to be a nuisance call. Known predictive dialling systems are therefore normally programmed with a parameter establishing the maximum nuisance call rate which can be tolerated by the system. Such prior art predictive dialling systems are thus a trade-off between high call throughput rate and a minimal nuisance call rate. In the case of quite complex conversations between each agent and a respondent, which may have different lengths dependent on the information given by the

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respondent, this can be particularly difficult as the estimated call time is only based on an average call duration.

5   It is an object of the present application to provide a predictive dialling system wherein the number of agents who are likely to be available to take further calls at a particular time and thus the number of new telephone numbers which may be dialled can be more accurately
10   predicted than has been possible previously.

According to a first aspect of the present invention there is provided a predictive dialling system for a call centre including a plurality of agent workstations, each
15   agent workstation comprising: means for producing signals effective to cause a display to display a script for prompting the agent in a telephone conversation with a telephone respondent; means for entering information obtained from the telephone respondent in response to
20   questions prompted by the script into the workstation; and means for producing status signals indicative of the progression of the agent through the script; the predictive dialling system comprising: means for storing statistical data regarding the connection of previous
25   telephone calls; means for receiving the status signals

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produced by each agent station; means for predicting from
the statistical data and the status signals how many new
calls should be dialled to enable agents who have
finished their previous call by the time each new call
is answered by a respective telephone respondent to take
all the new calls; and means for generating signals
effective to cause said new calls to be dialled.

According to a second aspect of the present invention
there is provided a scripting system for use in an agent
workstation in a call centre, the workstation comprising:
means for receiving telephone calls; and a display means;
the scripting system comprising: means for providing
signals effective to cause the display means to display
a script for prompting an agent in a telephone call with
a telephone respondent; means for recording information
obtained from the telephone respondent and sending
signals representative of said information to a storage
means; means for producing status signals representative
of the progression of the agent through the script; and
means for sending said status signals to a predictive
dialling system.

According to a third aspect of the present invention
there is provided a predictive dialling method for a call

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centre including a plurality of agent workstations, each
agent workstation performing the steps of: producing
signals effective to cause a display to display a script
for prompting the agent in a telephone conversation with
5   a telephone respondent; entering information obtained
from the telephone respondent in response to questions
prompted by the script into the workstation; and
producing status signals indicative of the progression
of the agent through the script; the predictive dialling
10   method comprising: storing statistical data regarding the
connection of previous telephone calls; receiving the
status signals produced by each agent station; predicting
from the statistical data and the status signals how many
new calls should be dialled to enable agents who have
15   finished their previous call by the time each new call
is answered by a telephone respondent to take all the new
calls; and generating signals effective to cause said new
calls to be dialled.

20   According to a fourth aspect of the present invention
there is provided a scripting method in an agent
workstation in a call centre, the workstation comprising:
means for receiving telephone calls; and a display means;
the scripting method comprising the steps of: providing
25   signals effective to cause the display means to display

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a script for prompting an agent in a telephone call with a telephone respondent; recording information obtained from the telephone respondent and sending signals representative of said information to a storage means;
5   producing status signals representative of the progression of the agent through the script; and sending said status signals to a predictive dialling system.

An aspect of the invention provides a computer program
10   including processor implementable instructions for performing a method according to the third or fourth aspects of the invention.

An embodiment of the invention will now be described, by
15   way of example only, with reference to the accompanying drawings in which:

Figure 1 is an overview of the operation of a call centre;

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Figure 2 illustrates the functional software and hardware modules at each agent workstation incorporated in the call centre system shown in Figure 1;

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Figure 3 illustrates the functional components of the predictive dialling system incorporated in the call centre system shown in Figure 1 together with the message flow between each module;

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Figure 4 illustrates the predictive engine module incorporated in the predictive dialling system shown in Figure 3;

10   Figure 5 illustrates the functional components of the list management module incorporated in the predictive dialling system of Figure 3;

Figure 6 illustrates the functional components of the
15   monitor and control module incorporated in the predictive dialling system of Figure 3;

Figure 7 illustrates the functional components of the dialling module incorporated in the predictive dialling
20   system of Figure 3; and

Figure 8 illustrates an example of a user interface shown on the display of the agent workstation of Figure 2.

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Overview of the Call Centre

Turning firstly to Figure 1, this Figure illustrates an overview of the operation of a call centre. The call centre includes a large number of agent workstations 1-1, 1-2, 1-3, ..., 1-n. Each workstation 1-1, ..., 1-n has a respective agent telephone system 2-1, 2-2, ..., 2-n located at it and is connected to the components of the CTI system through a network 3. The network 3 is connected via voice links to a call centre switch 5, which is itself connected to each of the agent telephone systems 2-1, 2-2, ..., 2-n and, via voice links, to a carrier network 7. Connected to the carrier network 7 are a large number of network respondent telephones 9-1, 9-2, ..., 9-k which the agents may call using the network 7. The call centre switch 5 and the network 3 are both connected to a database system 10 for storing data obtained by the agents from respondents answering the telephones 9-1, 9-2, ..., 9-k.

Also connected to the network 3 is a predictive dialling system 11 arranged to cause the call centre switch 5 to speed dial the numbers of a number of the respondent telephones 9-1...9-k connected to the network 7 at any one time. The number of calls dialled at any time is dependent on the number of agents who it is predicted

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will be available to deal with each call when it is
answered by a respondent. The prediction is performed
by monitoring the progress of each agent as they work
through a scripted dialogue with each respondent as will

5   now be described.

Agent Workstation

Turning now to Figure 2, this Figure illustrates the
components of each agent workstation 1 to the extent

10   required to understand the invention. Each workstation
comprises a personal computer 21, a display 25, a
keyboard 27 and a mouse 29. The personal computer 21
includes a display control unit 33 effective to control
the display 25 and a data input unit 35 responsive to

15   signals produced by the user using the keyboard 27 and
mouse 29. Each agent telephone system 2 which is located
at the workstation comprises a microphone and headset
(not shown) of a type common in call centres.

20   In addition to the above conventional components, the
personal computer 21 also includes an agent scripting
module 37 including a store 39 storing a series of
scripts which may be displayed on the display 25. Each
script is designed to guide the conversation between the

25   call centre agent and the telephone respondent for a

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particular purpose, for example an opinion poll of voting
intentions for a forthcoming election, to enable the
agent to extract specific information from the
respondent. This information may be input into the data

5   input unit 35 via the keyboard 27 and/or mouse during the
telephone conversation between the agent and the
respondent as will be described in more detail hereafter.
Each script includes points within the script at which
one or more "state" flags are automatically generated to

10 indicate the progression of the agent through the script,
these flags being stored in status flag buffer unit 41.

In the particular embodiment to be described, there are
three "state" flags, designated "green", "amber" and

15 "red", in which:
        a "green" flag indicates that the call is
        approaching completion;
        an "amber" flag indicates that completion is
        imminent; and

20   a "red" flag indicates that the call is complete,
        and the agent is finishing off.

Signals including data representative of the generation
of each flag is passed through an interface 43 to the

25 predictive dialling system 11.   Further signals

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indicating that the agent has actually finished the call
and has reached an "idle" state are also be passed to the
predictive dialling system 11.   Finally, signals
indicating that the agent has logged "on to" or "out

5   from" the particular workstation 1 and thus has become
available or is no longer available are generated at the
relevant times by the agent scripting module 37 and
passed through the interface 43 to the predictive
dialling system 11.

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Predictive Dialling System
Turning now to Figure 3, this Figure is an overview of
the various functional modules of the predictive dialling
system 11.

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Status signals representative of the flag states, "idle"
states and log on or log off signals from the interface
43 in the agent scripting module 37 within each agent
workstation 1 are received by a predictive engine module

20   51, this module also being effective to send signals back
to the agent scripting module 37 in each workstation 1,
these signals being effective to cause a new script
stored in the script store 39 to start to run at the
workstation 1.

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The predictive engine module 51 is also linked to a list management module 53, a dialling module 55 and a monitor and control module 57. The list management module 53 stores the numbers to be dialled. The dialling module 55 is effective to send dialling requests to the call centre switch 5 and to receive information back from the call centre switch 5 as to whether each telephone 9 has been answered. The monitor and control module 57 is effective to provide dialling numbers to the list management module 53 and to provide control parameters to the predictive engine module 51.

Further details of each of the modules 51, 53, 55, 57 of the predictive dialling system will now be described in more detail with reference to Figures 4 to 7.

Turning now to Figure 4, in response to the status signals and log in/log out signals received from the agent workstations and the parameters received from the monitor and control module 57, the predictive engine module 51 instructs dialling module 55 to dial numbers produced from numbers stored in the list management module 53. Thus the predictive engine module 51 includes respective interfaces 61, 63, 65, 67 to the agent workstations 1 connected to the LAN 3, the dialling

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module 55, the list management module 53 and the monitor
and control module 57.   The predictive engine module 51
also includes an agent status table 69 including data
derived from the agent login and/or logout information
signals and the flag and "idle" state signals provided
from the agent workstations 1.   A statistics unit 71 is
provided for maintaining statistics over a predefined
period of time, for example one hour.

The predictive engine module 51 also includes an
algorithm module 73 programmed with an algorithm and the
current variable values for use in the algorithm to
enable the calculation of the number of respondent phones
to be dialled at any particular time from the agent
status information stored in the agent status table 69
and the statistical information provided to the
predictive engine module from the monitor and control
module 57 at that time.   In the particular embodiment
being described, the number of calls N_{dial} which the
system predicts should be dialled at any one time is
given by the equation:

$$N_{dial} = I + \frac{\alpha I + \beta R + \chi A + \delta G}{S_r (\Theta + \tan(\frac{\pi N_r}{2 N_t}))} \qquad (1)$$

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where:

I is the number of agents in the "Idle" state;

R is the number of agents in the "Red" state;

A is the number of agents in the "Amber" state;

G is the number of agents in the "Green" state;

$\alpha, \beta, \chi, \delta$ are constants set to predefined values;

S_r is the statistical success rate, i.e. the percentage

of successful connections out of the total of calls made

over time;

Θ is an adjustment constant called the Overdial Factor;

N_r is the Nuisance Call rate expressed as a percentage;

and

N_t is the Nuisance Call target rate expressed as a

percentage.

It will be appreciated that equation (1) is an asymptotic

function which will tend to a value proportional to the

number of available agents as the nuisance rate meets the

nuisance call target and the agents progress towards

their "idle" state. It will also be appreciated that

equation (1) is only one example of an algorithm which

may be used by a predictive dialling system in accordance

with the invention.

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Turning now to Figure 5, the list management module 53

contains respective interfaces 81, 83 to the monitor and

control module 57 and the predictive engine module 51.

The list management module 53 includes a relational

5   database system 85 including a list of all of the numbers

to be dialled using any particular script stored in the

agent workstations 1, together with a cache 87 storing

the dialling numbers to be included in the next list of

new numbers to be dialled.

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Turning now to Figure 6, the monitor and control module

57 is a user interface and thus includes a display 91

together with a display control unit 93, a keyboard 95

and mouse 97 together with a data input control system

15   99. Interfaces 101,103 are provided to respectively the

list management module 53 and the predictive engine

module 51. The monitor and control module 57 also

includes a store 105 for the current system parameters

105 for use in equation (1) and a statistics store 107

20   storing statistical information for the system.

Finally turning to Figure 7, the fourth unit in the

predictive dialling system 11, that is the dialling

module 55 includes respective interfaces 111 and 113 to

25   the predictive engine module 51 and the call centre

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switch 5, together with a buffer 115 for storing the next
numbers to be dialled.

Operation of the Predictive Dialling System

5   An example of the use of the system described above will
now be given with reference to Figure 8 which is an
example of a screen on the display 25 of one of the agent
workstations 1 when a script is running. In the
particular script, which is designed as part of an
10   opinion poll to determine voting intentions at an
election, the agent must work through a series of screens
including prompted questions to which various telephone
respondent answers are possible. These answers are
entered by the agent at the appropriate point on the
15   screen using the mouse 29, to be communicated as a series
of message data using extendible mark-up language through
the display input unit 35 through the network 3 to the
central database 10.

20   In the particular embodiment being described, the
scripting software is designed to react to the answers
to the questions as entered by the agent on the screen
of the display 25 so as to present automatically a
different screen in the event that the person being
25   called indicates that they are not entitled to vote, this

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leading to the end of the script. Suitable scripting

software is produced by Noetica Limited under the trade

mark "Synthesys" (TM) which is a particular scripting

software enabling a client to produce scripts of a format

5   suitable for any application without knowing the details

of the program structure. In an adaptation of such

software and in accordance with the invention, the

software enables the production of the "green", "amber"

and "red" state flags discussed above at appropriate

10   points within the script.

Thus in the particular example illustrated in Figure 8

in the first screen "interviewee" the agent would

typically be prompted to ask the question:

15   "Are you a resident of the household?"
to ascertain whether the person who has answered the

phone is actually resident in the particular household

followed by the question:

             "Are you entitled to vote?"

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If the answer is negative, the scripting program passes

to the end of the script. However, assuming a positive

answer, the scripting system will pass to the next screen

"background" at which point the agent would be prompted

25   to ask the question:

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"How old are you?"

followed by:

"What is your occupation?"

On completion of the second screen, the system passes to
the third screen which relates to the respondent's voting
at the previous election with the question:

"Did you vote in the last election?",

and if the answer is positive:

"How did you vote in the last election?"

with the agent being given the choices of the various
parties, "Labour", "Liberal Democrat" and "Conservative"
or "Other Parties", "undecided" or "will not say".

The final screen as illustrated in Figure 8 shows the
answer given in response to the message:

"Will you vote in the next election?"

as

"What is your voting intention in the next
election?"

At appropriate points within the script, on passing the
"green" and "amber" flag points, appropriate signals are
sent to the predictive engine module 51 in the predictive
dialling system. On entering the answer to the final

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question, the interface predictive dialling system 43 prompts the generation of a "red" flag. Completion of the call leads to the agent being set into an "idle" mode with the time at which the call was completed also being logged and an appropriate message sent to the predictive engine module 51.

The above information during the running of a script at each workstation 1 is communicated to the predictive engine module where it is stored in the agent status table 69 which will typically have entries of the following form, but with substantially more entries:

Table 1

AGENT ID	LOG OFF	LOG IN	GREEN FLAG	AMBER FLAG	RED FLAG	IDLE
1	√ 19.00					
2		√ 18.00	√ 18.02.01			
3		√ 10.10			√ 18.05.00	
4		√ 18.06				√ 18.06.20

In the particular example shown in the Table, the first agent has logged off and thus the predictive engine module 51 knows that this agent is not available. Agents

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2 and 3 are at respectively the "green" flag and the "red" flag stage while the agent 4 reached the idle stage at 18.06.20. The table thus enables the predictive engine module 51 to derive values for I, R, A and G for use in equation (1).

Based on this information together with the statistical information relating to the statistical success rate S_r, the constants $\alpha, \beta, \chi, \delta$, the value of Θ, the calculated nuisance call rate N_r and the nuisance call target N_t which are received from the monitor and control module 57, the predictive engine module 51 is able to calculate from the stored algorithm, equation (1), the number of calls N_{dial} which should be dialled at that time. Where an agent logs in or out, the number of idle agents is increased or decreased accordingly.

The newly-calculated value of N_{dial} is compared with the previously calculated value N_{dial} for calls which are currently in the process of being dialled. If the calculated value of N_{dial} has increased, new numbers to be dialled are initiated and a new number request is sent to the list management module 53 from the predictive engine module 51. However, if the value of N_{dial} has decreased, no new numbers to be dialled are initiated and

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if the difference between the previous and current values of N_{dial} is more than a predetermined value, then some of the telephone numbers currently being dialled are cancelled by hanging up. However as the value of N_{dial} is calculated in response to every message received by the predictive engine module 51 from the engine scripting module 37 at each agent workstation 1, or from the monitor and control module 57 as parameter updates, the value of N_{dial} should not fluctuate greatly.

Whenever the predictive engine module 51 requires new numbers to dial, a new number request message is sent to the list management module 53 including an indication of the difference between the number of telephone numbers which are currently in the process of being dialled and the value of N_{dial}. In response to this message, a new number list including the required number of new telephone numbers which have been held in the cache 87 in the list management module, is immediately sent to the predictive engine module 51 and the telephone numbers in the cache 87 are replaced by further telephone numbers from the dialling list stored in the relational database system 85 to avoid delays being generated whilst this data is read out from the database system 85.

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The predictive engine module 51 stores the new numbers in a new number list buffer 75 whilst sending a dialling request message through the dialling module 55, where the new numbers are held in a dialling buffer 115 before being passed via interface 113 to the call centre switch 5. The dialling module 55 receives dialling result information back from the call centre switch 5 as to whether each dialling request was successful and that the call is now connected, or if the dialling request for any telephone number failed. If the dialling request for any telephone number does fail, a message indicating the reason for the failure such as "busy", "no answer", "phone switched to answerphone" is sent by the call centre switch 5 through the dialling module 55 to the predictive engine module 51, this information being recorded in the statistics store 71 in the predictive engine module 51. If, however, the dialling request is successful and the call centre switch has allocated the call to one of the agent telephone systems 2-1, 2-2, ..., 2-n, the predictive engine module 51 sends a new script message to the appropriate agent workstation 1-1, 1-2, ..., 1-n.

Statistical information from the predictive engine module 51 is passed to the monitor and control module 57 for

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storage and analysis in the statistics module 107 in the monitor and control module. A system manager is able to cause this information to be displayed on the display 91, to enable the system manager to decide whether to amend

5 the system parameters and the system parameter store 105 to change the values used by the algorithm in the algorithm unit 73 in view of the performance of the system. The dialling result information may be used to modify, for example, the times at which the calls are

10 made. Alternatively, these modifications may be done automatically by means of the feedback of performance statistics to the monitor and control module 57.

It will be appreciated that any form of scripting

15 software may be used. However a particularly advantageous form of scripting is an adaptation of that provided by Noetica Ltd as described above.

It will be appreciated that the invention may be

20 implemented by software running in both the predictive dialling system and software running in each agent workstation. Such software may be carried on a computer carrier or be downloaded as a signal from a network or from the Internet.

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It will be appreciated that whilst one particular form of call centre has been described in which each call centre agent has an independent workstation and telephone system, the invention is applicable to other forms of

5   call centre, for example where each workstation 1 is integrated with a telephone system 2, with the voice and data networks also being integrated.